

1. Name of Listed Entity: Cartrade Tech Limited
2. Scrip Code/Name of Scrip/Class of Security: CARTRADE
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareh olders	No. of fully paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securities				No. of Shares Underlying	Shareholding , as a % assuming full conversion	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held
		(III)	shares	equity	Depository	held	(calculated as	(IX)				Outstanding	of convertible	(XII)		otherwise	in	
			held	shares	Receipts	(VII) =	convertible					securities ( as a	encumbered			dematerializ		
			(IV)	held	(VI)	(IV)+(V)+ (VI)	per SCRR,					(including	percentage of diluted share			(XIII)	form	
							1957)											
							(VIII)											
			As a % of	No of Voting			Total as a % of (A+B+ C)	Warrants)	capital)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)				
(A+B+C2)	Rights																	
Clas s eg: X	Clas s eg:y	Tot al																
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
B	Public	45848 2	4652506 7	0	0	465250 67	100	4652 5067	0	465 250 67	100	0	100	27166 851	58.39			46499617
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	45848 2	4652506 7	0	0	465250 67	100	4652 5067	0	465 250 67	100	0	100	27166 851	58.39	0	0	46499617

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the Shareholders (I)	PAN (II)	No. of sharehold older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares g, as a % assuming full conversion of (including Warrants) (X)	Shareholding, as a % convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
	No of Voting Rights								Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)			As a % of total shares held (b)				
	Class X															Class Y	Total		
																		1	
a	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Sub-Total (A)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	



**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		9	2363254	0	0	2363254	5.08	2363254	0	2363254	5.08	0	5.08	0	0			2363254
	ICICI Prudential Technology Fund	AAA AI0038F	1	588720	0	0	588720	1.27	588720	0	588720	1.27	0	1.27	0	0			588720
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		7	908772	0	0	908772	1.95	908772	0	908772	1.95	0	1.95	650282	71.56			908772
	IIFL Special Opportunities Fund - Series 8	AAB TI5210M	1	561389	0	0	561389	1.21	561389	0	561389	1.21	0	1.21	544740	97.03			561389
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		85	8004759	0	0	8004759	17.21	8004759	0	8004759	17.21	0	17.21	0	0			8004759
	Kuwait Investment	AAA TK38	1	954257	0	0	954257	2.05	954257	0	954257	2.05	0	2.05	0	0			954257

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
	Authority Fund 225	58Q																	
	Goldman Sachs Funds - Goldman Sachs Emerging Markets Equity Portfolio	AAC CG26 92R	1	6106305	0	0	610635	1.31	6106305	0	610635	1.31	0	1.31	0	0			610635
	Goldman Sachs Trust-Goldman Sachs Emerging Markets Equity Fund	AAA AG10 53C	1	5298907	0	0	529897	1.14	5298907	0	529897	1.14	0	1.14	0	0			529897
	Goldman Sachs Funds - Goldman Sachs India Equity Portfolio	AAG CG98 87L	1	5132207	0	0	513227	1.1	5132207	0	513227	1.1	0	1.1	0	0			513227
f	Financial Institutions/Banks		1	1665	0	0	1665	0	1665	0	1665	0	0	0	0	0			1665
g	Insurance Companies		3	1994107	0	0	199417	0.43	1994107	0	199417	0.43	0	0.43	0	0			199417

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		105	11477867	0	0	11477867	24.67	11477867	0	11477867	24.67	0	24.67	650282	5.67			11477867
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		443877	9066365	0	0	9066365	19.49	9066365	0	9066365	19.49	0	19.49	1643394	18.13			9066265
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		443864	6880018	0	0	6880018	14.79	6880018	0	6880018	14.79	0	14.79	0	0			6880018

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	2186347	0	0	2186347	4.7	2186347	0	2186347	4.7	0	4.7	1643394	75.17			2186247
	Vinay Sanghi	AAFP1S8872F	1	980763	0	0	980763	2.11	980763	0	980763	2.11	0	2.11	980763	100			980763
b	NBFCs registered with RBI		2	680	0	0	680	0	680	0	680	0	0	0	0	0			680
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		14498	25980155	0	0	25980155	55.84	25980155	0	25980155	55.84	0	55.84	24873175	95.74			25954805
	Bodies Corporate		536	467924	0	0	467924	1.01	467924	0	467924	1.01	0	1.01	15200	3.25			467924
	Clearing Members		111	73003	0	0	73003	0.16	73003	0	73003	0.16	0	0.16	0	0			73003
	Foreign		2	32257	0	0	32257	0.69	32257	0	32257	0.69	0	0.69	3225	100			322576



Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shar es held (b)	No. (Not ap pli cable ) (a)		As a % of total share s held (Not applic able) (b)
								Class X	Class Y	Tot al								
Nationals			6					6		576			76					
HUF		12241	2922402	0	0	292242	0.63	2922402	0	292242	0.63	0	0.63	0	0		292242	
LLP		76	1097701	0	0	109771	0.24	1097701	0	109771	0.24	0	0.24	34800	31.7		109771	
Non-Resident Indian (NRI)		1519	2296400	0	0	229640	0.49	2296400	0	229640	0.49	0	0.49	25350	11.04		204290	
Overseas Corporate Bodies		12	244750249	0	0	24475249	52.61	244750249	0	24475249	52.61	0	52.61	24475249	100		24475249	
Highdell Investment Ltd	AACH9572R	1	81415074	0	0	8141574	17.5	81415074	0	8141574	17.5	0	17.5	8141574	100		8141574	
Macritchie Investments Pte Ltd	AAF CM1240G	1	76492016	0	0	7649216	16.44	76492016	0	7649216	16.44	0	16.44	7649216	100		7649216	
CMDB II	AAF CC9742B	1	34681056	0	0	3468156	7.45	34681056	0	3468156	7.45	0	7.45	3468156	100		3468156	
Springfield Venture International	AAV CS9255R	1	16431007	0	0	1643107	3.53	16431007	0	1643107	3.53	0	3.53	1643107	100		1643107	
MCP3 SPV LLC	AAN CM0977G	1	12990075	0	0	1299075	2.79	12990075	0	1299075	2.79	0	2.79	1299075	100		1299075	
Malabar India Fund Limited	AAF CM6589C	1	5804805	0	0	580485	1.25	5804805	0	580485	1.25	0	1.25	580485	100		580485	

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class X	Class Y	Total								
Trusts		1	9750	0	0	9750	0.02	9750	0	9750	0.02	0	0.02	0	0			9750
Sub-Total (B)(3)		45837	3504700	0	0	35047200	75.33	3504700	0	35047200	75.33	0	75.33	26516569	75.6			35021750
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		458482	46525067	0	0	46525067	100	46525067	0	46525067	100	0	100	271668519	58.3			46499617

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Sharehol ding % d as per calculate SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)	
			No of Voting Rights			Total as a % of Total Voting rights								No.	As a % of total Shar es held	No. (No t ap pli ca ble )	As a % of total share s held (Not applic able)			
			Class X	Class Y	Tot al															
	1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0		0	0			0
	2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
		Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0		0	0	0	0	0		0	0			0

**Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)**

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Charles Kaye		673740442	United States of America	17.5	0	0			
A	HIGHDELL INVESTMENT LIMITED	AACCH9572R		Mauritius	17.5			No	No	27-Aug-2021

**Table II- Unclaim Details**

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

**Table III- Unclaim Details**

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

**Table III- Person in Concert**

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %